PTO/SB/08a (08-03.)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10707669	
INFORMATION DIGGL COURT	Filing Date		2003-12-31	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	David	David Craig McGlamery	
(Not for submission under 37 CFR 1.99)	Art Unit		2876	
(	Examiner Name	Kumiko C. Koyama		
	Attorney Docket Number		014033-000019	

				U.S	PATENTS			Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	of cited Document		Releva	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1								
If you wis	h to a	 dd additional U.S. <b>P</b> ate	nt citatio	l n information	 please click the	Add button.		Add	
			U.S.P	ATENT APPL	ICATION PUB	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	of cited Document		Releva	ges,Columns,Lines where levant Passages or Relevant jures Appear	
	1								
If you wisl	h to a	dd additional U.S. Publi	ished Ap	plication citat	on information	please click the Ade	d button	Add	$\neg$
				FOREIGN PA	TENT DOCUM	MENTS		Remove	
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Publication Date	Applicant of cited		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5
	1								
If you wisl	h to a	 dd additional Foreign P	l atent Do	cument citation	n information p	lease click the Add	button	Add	
NON-PATENT LITERATURE DOCUMENTS Remove									
Examiner Initials*	Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.								<b>T</b> 5

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10707669	
Filing Date		2003-12-31	11
First Named Inventor Davi		id Craig McGlamery	V
Art Unit		2876	
Examiner Name	miner Name Kumiko C. Koyama		
Attorney Docket Number		014033-000019	

1	BANK	OF AMERICA CORPORATION et al., "International Preliminary Report on Patentability	," July 13, 2006.	
If you wish to a	 idd additi	onal non-patent literature document citation information please click the Add b	utton Add	
		EXAMINER SIGNATURE		
Examiner Sign	ature	Date Considered		- 1
		rerence considered, whether or not citation is in conformance with MPEP 609. ance and not considered. Include copy of this form with next communication to	-	
Standard ST.3). 3	For Japane t by the app	Patent Documents at <a href="www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the documents se patent documents, the indication of the year of the reign of the Emperor must precede the seri ropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicial attached.	al number of the patent doc	ument.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10707669
Filing Date		2003-12-31
First Named Inventor David		d Craig McGlamery
Art Unit		2876
Examiner Name	Kum	iko C. Koyama
Attorney Docket Numb	er	014033-000019

		CEI	RTIFICATION STATEMENT					
Plea	ase see 37 CFR	1.97 and 1.98 to make the appro	ppriate selection(s):					
Z	from a foreign		e information disclosure statement was oreign application not more than three 1.97(e)(1).					
OF	₹							
	foreign patent after making re any individual	office in a counterpart foreign a easonable inquiry, no item of info	information disclosure statement was pplication, and, to the knowledge of th ormation contained in the information di more than three months prior to the fi	ne person signing the certification sclosure statement was known to				
П	See attached of	ertification statement.						
$\overline{\Box}$	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.							
	None							
П			SIGNATURE					
	signature of the a n of the signatur	• • • • • • • • • • • • • • • • • • • •	uired in accordance with CFR 1.33, 10.	18. Please see CFR 1.4(d) for the				
Sign	nature	/Steven B. Phillips/	Date (YYYY-MM-DD)	2006-07-27				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Registration Number

37,911

Name/Print

Steven B. Phillips

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.